

Customer No. 04249

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Sawchuk, et al.

) PATENT APPLICATION

APPLICATION NO.: 10/676,930

) Group Art Unit: Unknown

CONFIRMATION NO.:

)

FILED: September 30, 2003

) Examiner:

) Unknown

FOR: MODULAR LNG PROCESS

) Attorney Docket No.:

) 39,816-04

)

Information Disclosure Statement Pursuant to 37 C.F.R. 1.97-1.98

Mail Stop Amendment  
Commissioner  
for Patents  
P. O. Box 1450  
Alexandria, Virginia  
22313-1450

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL SERVICE WITH  
SUFFICIENT POSTAGE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO: COMMISSIONER FOR PATENTS, ALEXANDRIA,  
VIRGINIA 22313-1450.

on August 23 2004 By Carol M. Neth  
(Signature)

Sir:

CAROL M. NETH  
(Typed or Printed Name of Person Signing Certificate)

Pursuant to 37 C.F.R. 1.97-1.98, Applicants submit the documents identified in the enclosed form PTO-1449 for consideration by the Patent and Trademark Office in the examination of the above-identified patent application. A legible copy of each document is enclosed, except for listed US Patents.

One document, the article by C. TH. Sanders, is provided in what appears to be the Dutch language. Applicants do not have an English translation of this document.

To Applicant's knowledge, this Information Disclosure Statement is timely under 37 CFR § 1.97 (b), in that a first Office Action on the merits has not been mailed. In the event this is incorrect and Applicants have not yet received the first Office Action, then

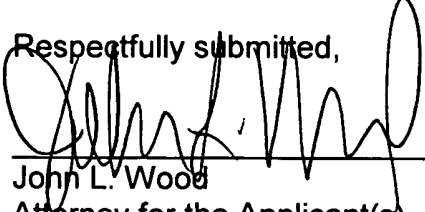
08/27/2004 JDALINAM 00000104 010528 10676930  
01 FC:1006 180.00 DA

5  
please treat this paper as having been submitted under 37 CFR § 1.97 (c) and charge the required fee under 37 CFR § 1.17 (p) to our Deposit Account as authorized below.

Please charge any fees required to Deposit Account No. 01-0528.

Correspondence Address:  
BP America Inc.  
Docket Clerk, BP Legal, M.C. 5East  
4101 Winfield Road  
Warrenville, Illinois 60555

Respectfully submitted,



---

John L. Wood  
Attorney for the Applicant(s)  
Registration Number 32,183  
(281) 366-2073

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF DOCUMENTS CITED BY APPLICANT  
(Use several sheets if necessary)ATTY. DOCKET NO.  
39,816-04SERIAL NO.  
10/676,930APPLICANT  
Sawchuk, et. al.FILING DATE  
September 30, 2003

GROUP

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER |   |   |   |   |   |   | DATE  | NAME              | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|-----------------|---|---|---|---|---|---|-------|-------------------|-------|----------|-------------------------------|
|                      | AA | 6               | 1 | 6 | 8 | 7 | 6 | 8 | 11/01 | Taylor et. al     |       |          |                               |
|                      | AB | 6               | 3 | 8 | 9 | 8 | 4 | 4 | 5/02  | Klein Nagel Voort |       |          |                               |
|                      | AC |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AD |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AE |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AF |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AG |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AH |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AI |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AJ |                 |   |   |   |   |   |   |       |                   |       |          |                               |
|                      | AK |                 |   |   |   |   |   |   |       |                   |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER |   |   |   |   |   |   | DATE  | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|---|---|---|---|---|---|-------|---------|-------|----------|-------------|----|
|  |    |                 |   |   |   |   |   |   |       |         |       |          | YES         | NO |
|  | AL | 0               | 1 | 8 | 1 | 8 | 4 | 5 | 11/01 | WO      |       |          |             | X  |
|  | AM | 0               | 3 | 6 | 4 | 9 | 4 | 6 | 8/03  | WO      |       |          |             | X  |
|  | AN | 0               | 3 | 6 | 4 | 9 | 4 | 7 | 8/03  | WO      |       |          |             | X  |
|  | AO |                 |   |   |   |   |   |   |       |         |       |          |             |    |
|  | AP |                 |   |   |   |   |   |   |       |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |   |
|--|----|---|
|  | AR | C. Th. Sanders, "De technologie van vloeibaar aardgas ontwikkeling en toekomst" Koude Klim. Vol 82, No. 8 (8/1989) Pages 33-37                          |
|  | AS | Collins et al., "Liquefaction Plant Design in the 1990s", Hydrocarbon Processing, Gulf Publishing Co., Houston, TX Vol. 74, No. 4 (April 1995) P. 67-76 |
|  | AT | Kosseim et al., "New Developments in Gas Purification for LNG Plants", IGT Int'l Inst. Of Refrig. 10 <sup>th</sup> LNG Conf. (5/1992)                   |

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

|  |    |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|--|----|--|--|--|--|---------------------------------|--|--|------|-----------------|-------|----------|-------------------------------|-----|----|
| <b>U.S. DEPARTMENT OF COMMERCE<br/>PATENT AND TRADEMARK OFFICE</b>   |    |  |  | <b>ATTY. DOCKET NO.</b><br>39,816-04     |  | <b>SERIAL NO.</b><br>10/676,930 |  |  |      |                 |       |          |                               |     |    |
| <b>LIST OF DOCUMENTS CITED BY APPLICANT</b><br><i>(Use several sheets if necessary)</i>  |    |  |  | <b>APPLICANT</b><br>Sawchuk, et al.      |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  |    |  |  | <b>FILING DATE</b><br>September 30, 2003 |  | <b>GROUP</b>                    |  |  |      |                 |       |          |                               |     |    |
| <b>U.S. PATENT DOCUMENTS</b>   |    |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
| *EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER  |  |  |  |                                 |  |  | DATE | NAME            | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |     |    |
|  | AA |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AB |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AC |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AD |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AE |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AF |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AG |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AH |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AI |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AJ |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AK |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
| <b>FOREIGN PATENT DOCUMENTS</b>  |    |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  |    | DOCUMENT NUMBER  |  |  |  |                                 |  |  | DATE | COUNTRY         | CLASS | SUBCLASS | TRANSLATION                   |     |    |
|  |    |  |  |  |  |                                 |  |  |      |                 |       |          |                               | YES | NO |
|  | AL |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AM |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AN |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AO |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AP |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
| <b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, etc.)</i>   |    |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AR | A. Avidar et al., "Large LNG Plants" Phillips Pct. Website (May 2002)                |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AS | James H.R.: "Modular and Barge-Mounted Process Plants", AIChE Nat'l Meeting (3/1982) |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
|  | AT | Search Reports – PCT/US03/30551; PCT/US03/31252                                      |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |
| EXAMINER   |    |  |  |  |  |                                 |  |  |      | DATE CONSIDERED |       |          |                               |     |    |
| * EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |    |  |  |  |  |                                 |  |  |      |                 |       |          |                               |     |    |

|   |  |                                 |
|---|--|---------------------------------|
| <b>U.S. DEPARTMENT OF COMMERCE<br/>PATENT AND TRADEMARK OFFICE</b><br><br><b>LIST OF DOCUMENTS CITED BY APPLICANT</b><br><i>(Use several sheets if necessary)</i> | <b>ATTY. DOCKET NO.</b><br>39,816-04     | <b>SERIAL NO.</b><br>10/676,930 |
|   | <b>APPLICANT</b><br>Sawchuk, et al.      |                                 |
|   | <b>FILING DATE</b><br>September 30, 2003 | <b>GROUP</b>                    |

## U.S. PATENT DOCUMENTS

| *EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF<br>APPROPRIATE |
|----------------------|----|-----------------|------|------|-------|----------|-------------------------------|
|                      | AA |                 |      |      |       |          |                               |
|                      | AB |                 |      |      |       |          |                               |
|                      | AC |                 |      |      |       |          |                               |
|                      | AD |                 |      |      |       |          |                               |
|                      | AE |                 |      |      |       |          |                               |
|                      | AF |                 |      |      |       |          |                               |
|                      | AG |                 |      |      |       |          |                               |
|                      | AH |                 |      |      |       |          |                               |
|                      | AI |                 |      |      |       |          |                               |
|                      | AJ |                 |      |      |       |          |                               |
|                      | AK |                 |      |      |       |          |                               |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
|  |    |                 |      |         |       |          | YES         | NO |
|  | AL |                 |      |         |       |          |             |    |
|  | AM |                 |      |         |       |          |             |    |
|  | AN |                 |      |         |       |          |             |    |
|  | AO |                 |      |         |       |          |             |    |
|  | AP |                 |      |         |       |          |             |    |

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |   |
|--|----|--|---|
|  | AR |  | Sawchuk et al., US Patent Application, Serial No. 10/674,246 filed September 29, 2003 |
|  | AS |  |   |
|  | AT |  |   |

EXAMINER

DATE CONSIDERED

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.